

ADVANCED CERTIFICATE IN GOVERNANCE, RISK AND COMPLIANCE



Dr. Gihan Gamal



Training-May 27-29, 2024
(Monday-Wednesday)
Examination-June 5, 2024
(Tuesday)



Training- 8 AM-2 PM
Examination-12 PM-2 PM



RO 906/- per participant
(+VAT@5%)



CBFS Training Centre, Baushar

Contents Highlights:

Module 1: Knowledge and Understanding

Unit 1: Why do we have to do what we do?

- Why do we need regulation?
- What are the objectives of regulation?
- How and why has regulation developed over time?
- Our regulated environments
- Consumer and investor protection - and new developments

Unit 2 - What do we need to understand about regulation?

- Making markets work well
- Understanding what regulators do The risk-based approach
- Global regulatory structures
- Understanding different regulatory approaches
- Regulatory methodologies - their powers

Influencers and influences - the international dimensions

Reactive or proactive - responding to regulation

Appendices: Case study of a regulator

Regulatory objectives review

Risk based regulation

Unit 3 - Understanding governance, risk and compliance

- What is GRC?
- The relationships between governance, risk and compliance
- Why is this so important?
- Appendix - some illustrations and examples

Module 2: Application

Unit 4 - Practical GRC

- Effective GRC in action
- The role of compliance - what we do, why we do it...
- The compliance professional Different roles
- Skills and qualities
- Why relationship management is so important
- Some practical applications

Links between GRC, culture and ethics

Appendix: Compliance activities - a case study scenario

Unit 5 - Managing compliance and regulatory risks

- The links between risk and compliance
- Managing risks effectively
- Why do we need to do this?
- Benefits and consequences
- Examples of failure - case studies

Unit 6 - practical compliance and core GRC subjects

- The regulatory requirement to manage the risks of financial crimes
- Anti money laundering
- Other financial crime examples
- The rise in the significance of ESG
- Conduct risk and conduct risk management
- Data protection
- Prudential compliance
- Appendix - case studies



About the Speaker:

Dr Gihan Gamal is an International Financial Consultant and tutor with over more than 25 years of Financial experience. She is Board Director of International Compliance & Anti-Money Laundering Society (ICAS) in UK, Member Board of International Financial institute Society (IFIS) in UK financial consultant of Chartered international for Accounting & Auditing (CIAA) in USA, and certified tutor of international compliance association (ICA) in UK, as well of Global Compliance Institute (GCI) in Australia specializing in compliance and financial crimes.v

She is a holder of, a bachelor's degree from the Faculty of economic and political science Cairo University (Economic Major). Also, a PHD degree in Economics from the University of Michigan, USA, in addition she holds international certificates in Compliance, Anti-Money Laundering and Governance from university of Michigan, UK, as well specialized certificates in field of banking and finance, such as Portfolio Management Certificate, Risk Management and Financial analysis Certificates, managing executive diploma from Continuing education classes in managerial skills from AUC.

She is the author of number of books in field of stock market and finance, World of Stock Market - 2007, Financial Crisis 2008, Strategies in Trading In Stock Market 2009, Egyptian Stock Market 2010. Professional Investor 2012. Money laundering 2017, Governance 2019 and AML compliance 2020.



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